





**State of Utah**  
**Non-Participating Manufacturer**  
**Certification of Additional Information**

*P. 2 of 3*

**Part 4 (continued)**

7. A complete list of Little Cigars that NPM and its principals, subsidiaries, affiliates, successors, members, officers, owners and directors manufacture no matter where sold; time periods for manufacture of those brands; and the place of manufacture for those brands.
8. A list of prior manufacturers of each of the brand families certified in Part 2 of the Certificate of Compliance certification form
9. For each brand family certified in Part 2 of the Certificate of Compliance form, provide the following:
  - a. location of manufacture
  - b. name, address and phone number of the factory manager(s)
  - c. the date manufacturing first commenced
  - d. identification of intended distributors
  - e. the price at which you intend to sell each brand family to distributors licensed in Utah
  - f. explanation of manufacturing process and the precise activities that constitute fabrication of the cigarettes or roll-your-own tobacco
  - g. the name, address and contract for the fabricator, if other than the NPM, and a copy of any agreement or contract between the fabricator and NPM regarding the manufacture and/or sale of cigarettes
10. If NPM or any of its principals previously imported or distributed cigarettes or roll-your-own tobacco in the U.S., list each brand family name and the manufacturer name and address for each brand family.
11. If NPM delivers cigarettes directly to a distributor located in and licensed to stamp in Utah, list all distributors located in and licensed to stamp in Utah to whom cigarettes were delivered and attach copies of the TC-553S or TC-553M reports filed with the Utah Tax Commission for deliveries for the last 12 months
12. For each brand family of roll-your-own tobacco being certified, state whether NPM pays the Utah tax and provide a complete list of retailers to whom the roll-your own tobacco is intended to be sold in Utah.
13. If NPM intends to sell any cigarettes or roll-your-own by mail order or through the internet, provide the internet website and/or identify publications. Attach copies of all reports, if any, filed with the Utah Tax Commission to comply with the Jenkins Act (Chapter 10A of Title 15 of the U.S. Code, Section 375 et seq.) for sales in the last 12 months.
14. For each brand family certified in Part 2 of Certificate of Compliance form, provide the name and address of the entity that submitted the ingredient reporting information to the U.S. Secretary of Health and Human Services and required by the Federal Cigarette Labeling and Advertising Act (15 U.S.C. § 1335a) and attach copies of all certificates of compliance.
15. For each brand family certified in Part 2 of the Certificate of Compliance form, provide a copy of the current FTC rotation plan approval letter and the name and address of the entity that filed the health warning rotation plan with the FTC.
16. If the escrow agreement submitted by NPM is encumbered by granting a third party security interest in the escrow fund, provide UCC filings and Security Agreement pertaining to this security interest in the escrow fund.
17. Provide a list of states that have certified NPM and the brand families certified. If any state has refused to list or removed NPM from a state's directory, identify the state(s).

*(Continued on following page)*



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P. 3 of 3

**Part 4 (continued)**

**B. NPMs located in foreign countries must provide the information requested in this section. All attachments must indicate the question to which it corresponds.**

1. Copy of the license, permit or other registration documents required by the country where the brand families certified in Part 2b of Certificate of Compliance are manufactured.
2. Copies of Custom Form 7501 for the last 12 months for each brand family being certified.
3. A copy of the sworn statement that the NPM has timely submitted or has certified that it will timely submit ingredients to the Secretary of Health and Human Services as required by 19 U.S.C. § 1681a(c)(1).
4. A copy of the importer's certificate under penalty of perjury as required by 19 U.S.C. § 1681a(c)(2) regarding the precise format of warnings and the rotation plan for health warnings.
5. A copy of the trademark holder's certificate under penalty of perjury that it has not withdrawn consent to import into the United States as required by 19 U.S.C. § 1681a(c)(3)(A) and a copy of the importer's certificate under penalty of perjury that the trademark owner has not withdrawn consent to import into the United States as required by 19 U.S.C. § 1681a(c)(3)(B).
6. Name, address and contract information for all importers of the brand families certified in Part 2 of the Certificate of Compliance form.

**Part 5: Manufacturer Certification**

Under penalty of perjury, I state that, to the best of my knowledge, all of the information contained in this Certification and any attached documents are true and accurate. ***This document must be signed and dated by an authorized notary public.***

\_\_\_\_\_  
NPM Authorized Designee (Print Name)

\_\_\_\_\_  
Title

\_\_\_\_\_  
Signature of NPM Authorized Designee

\_\_\_\_\_  
Date

Subscribed and sworn to \_\_\_\_\_  
before me this date:

\_\_\_\_\_  
Signature of Notary Public

County \_\_\_\_\_

Commission Expires \_\_\_\_\_